

**REAL ALGEBRAIC GEOMETRY LECTURE NOTES**  
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**Chapter II: Valuations on ordered fields (particularly real closed fields)**

1. EXAMPLES

If  $G$  is a Hahn group, namely a Hahn sum

$$G = \bigsqcup_{\gamma \in \Gamma} B(\gamma)$$

or a Hahn product

$$G = \mathbb{H}_{\gamma \in \Gamma} B(\gamma),$$

then the valued  $\mathbb{Q}$ -vector space  $(G, v_{\min})$  is isomorphic to  $(G, v)$ , where  $v$  is the natural valuation explained in the last lecture. Namely

$$\forall x, y \in G \quad v(x) = v(y) \Leftrightarrow v_{\min}(x) = v_{\min}(y).$$

2. VALUED FIELDS

**Definition 2.1.** Let  $K$  be a field,  $G$  an ordered abelian group and  $\infty$  an element greater than every element of  $G$ . A surjective map

$$w: K \longrightarrow G \cup \{\infty\}$$

is a **valuation** if and only if  $\forall a, b \in K$ :

(i)  $w(a) = \infty \Leftrightarrow a = 0$ ,

(ii)  $w(ab) = w(a) + w(b)$ ,

(iii)  $w(a - b) \geq \min\{w(a), w(b)\}$ .

Immediate consequences:

- $w(1) = 0$ ,
- $w(a) = w(-a)$ ,
- $w(a^{-1}) = -w(a)$  if  $a \neq 0$ ,
- $w(a) \neq w(b) \Rightarrow w(a + b) = \min\{w(a), w(b)\}$ .

**Definition 2.2.**

- (i)  $R_w := \{a \in K : w(a) \geq 0\}$  is a subring of  $K$ , called the **valuation ring** of  $w$ .
- (ii)  $I_w := \{a \in K : w(a) > 0\} \subseteq R_w$  is called the **valuation ideal** of  $w$ .
- (iii)  $U_w := \{a \in R_w : a^{-1} \in R_w\} = \{a \in R_w : w(a) = 0\}$  is a multiplicative subgroup of  $R_w$  and is called the **group of units** of  $R_w$ .

**Remark 2.3.**

- Note that  $R_w = U_w \sqcup I_w$ . From this observation one can immediately show that  $R_w$  is a local ring with unique maximal ideal  $I_w$ .
- Note that for any  $x \in K^*$  either  $x \in R_w$  or  $x^{-1} \in R_w$  (or both in case  $x \in U_w$ ).

**Definition 2.4.**

- (i) The **residue field** is denoted by  $K_w := R_w/I_w$ .
- (ii) The **residue map**  $R_w \rightarrow K_w$ ,  $a \mapsto \bar{a} := aw$  is the canonical projection.
- (iii) The **group of 1-units** of  $R_w$  is denoted by
 
$$1 + I_w := \{a \in R_w : w(a - 1) > 0\}$$
 and is a multiplicative subgroup of  $U_w$ .

### 3. THE NATURAL VALUATION OF AN ORDERED FIELD

Let  $(K, +, \cdot, 0, 1, <)$  be an ordered field.

**Remark 3.1.**  $(K, +, 0, <)$  is an ordered divisible abelian group.

So on  $(K, +, 0, 1)$  we have already defined the natural valuation, namely via the ‘‘Archimedean equivalence relation’’:

$$\begin{aligned} 0 \neq a &\mapsto v(a) := [a] \\ 0 &\mapsto \infty \end{aligned}$$

We have set  $G := (K, +, 0, 1)/\sim^+$  and totally ordered  $G$  by

$$[a] < [b] :\Leftrightarrow b <<^+ a.$$

We shall show now that we can endow the totally ordered value set  $(G, <)$  with a group operation  $+$  such that  $(G, +, <)$  is a totally ordered abelian group. For every  $a, b \in K \setminus \{0\}$  define

$$[a] + [b] := [ab],$$

or in valuation notation

$$v(a) + v(b) := v(ab).$$

**Lemma 3.2.**

(i)  $(G, +, <)$  is an ordered abelian group.

(ii) The map  $v : (K, +, \cdot, 0, 1, <) \rightarrow G \cup \{\infty\}$  is a (field) valuation.

From now on let  $K$  be an ordered field and  $v : K \rightarrow G \cup \{\infty\}$  its natural valuation, with value group  $v(K^*) = G$ .

Consider

$$R_v := \{a \in K : v(a) \geq 0\},$$

$$I_v := \{a \in K : v(a) > 0\}.$$

What are  $R_v$  and  $I_v$  (from the point of view of chapter 1)?

$$\begin{aligned} R_v &:= \{a : [a] \geq [1]\} \\ &= \{a : a \sim^+ 1 \text{ or } a \ll^+ 1\} \\ &= \{a : v(a) \geq v(1)\}. \\ I_v &:= \{a : [a] > [1]\} \\ &= \{a : a \ll^+ 1\} \\ &= \{a : v(a) > v(1)\}. \end{aligned}$$

**Proposition 3.3.** (Properties of the natural valuation)

(1) The valuation ring  $R_v$  is a convex subring of  $K$ . It consists of all the elements of  $K$  that are bounded in absolute value by some natural number  $n \in \mathbb{N}$ . Therefore  $R_v$  is often called the ring of bounded elements, or the ring of finite elements.

This valuation ring of the natural valuation is indeed the convex hull of  $\mathbb{Q}$  in  $K$ . It is the smallest convex subring of  $(K, <)$ .

(2) The valuation ideal  $I_v$  is a convex ideal. It consists of all elements of  $K$  that are strictly bounded in absolute value by  $\frac{1}{n}$  for every  $n \in \mathbb{N}$ . Therefore  $I_v$  is called the ideal of infinitely small elements, or ideal of infinitesimal elements.

(3) The residue field  $K_v$  is Archimedean, i.e. a subfield of  $\mathbb{R}$ .