

**MODEL THEORY – EXERCISE 8**

To be submitted on Wednesday 08.06.2011 by 14:00 in the mailbox.

**Definition.**

- (1) For a set  $X$  and a number  $n < \omega$ , let  $X^{[n]}$  be the set of subsets of  $X$  of size  $n$ .
- (2) A graph is a structure  $G = (V, E)$  where  $V$  is a set of vertices and the edge relation  $E$  is a binary relation which is symmetric ( $xEy \rightarrow yEx$ ) and anti-reflexive ( $\neg xEx$ ).
- (3) The Finiteness Theorem / The Compactness Theorem: if  $\Sigma$  is a set of sentence such that each finite subset of it is consistent (has a model) then  $\Sigma$  has a model.
- (4) Let  $n < \omega$ . We say that a graph  $G$  is  $n$ -colorable, if there exists a function  $C : V \rightarrow n$  such that if  $a, b \in V$  and  $aEb$  then  $C(a) \neq C(b)$ .
- (5) A class of  $L$ -structures  $K$  is called elementary if there exists a set of sentences  $\Sigma$  such that  $K = \text{Mod}(\Sigma)$ .

**Question 1.**

- (1) Let  $L = \{<\}$ . Show that the class of well orderings is not elementary.  
Solution: Recall that  $<$  is a well order iff there is no infinite descending chain. If there were such a  $\Sigma$ , then consider  $\Sigma \cup \{c_{i+1} < c_i\}$  where  $c_i$  are new constants. Then this set is finitely satisfiable (because  $(\mathbb{N}, <)$  is always a model with some choice of elements for  $c_i$ ), but not satisfiable – contradiction.
- (2) Show that the class of all finite sets (in the signature  $L = \approx$ ) is not elementary.
- (3) Let  $L = \{+, \cdot, 0, 1, <\}$ , and let  $T = \text{Th}(\mathbb{N}, +, \cdot, 0, 1, <)$ . Show that there exists a model  $M$  of  $T$  with an element  $c$  which is greater than all natural numbers (i.e.  $c > 1^M, (1+1)^M$  etc.)
- (4) Show that in the model constructed in (3), there is no minimal such  $c$ .  
Solution: For any  $c$ ,  $c-1$  is well defined (it's the only  $x$  satisfying  $x+1 = c$ ), and  $c-1$  is also bigger than  $\mathbb{N}$ , because otherwise, if  $c-1 < k$ , then  $c < k+1$ .
- (5) Let  $T = \text{Th}(\mathbb{R}, +, \cdot, 0, 1, <)$ . Show that there is a model  $M \models T$  with an element  $0 < \varepsilon \in M$  which is infinitesimal: for every positive integer  $n$ ,  $\varepsilon < \left(1/(1 + \dots + 1)^M\right)$  where the 1 is summed  $n$ -times.

**Question 2.**

The infinite Ramsey Theorem states as follows: Suppose  $V$  is an infinite set and  $C : V^{[2]} \rightarrow \{0, 1\}$ . Then there exists an infinite subset  $U \subseteq V$  and  $i \in \{0, 1\}$  such that  $C(\{x, y\}) = i$  for all  $x, y \in U$  (in other words,  $C \upharpoonright U$  is constant).

You may think of  $C$  as a coloring function (of pairs from  $V$ ), and then  $U$  is monochromatic.

The finite Ramsey Theorem states as follows: For all  $k < \omega$  there exists some  $n < \omega$

such that if  $|V| = n$ , and  $C : V^{[2]} \rightarrow \{0, 1\}$  then there exists some  $U \subseteq V$  of size  $k$  which is monochromatic.

Remark: this is actually the Ramsey Theorem for coloring of pairs in 2 colors.

- (1) Prove the infinite Ramsey theorem.

Solution: Construct a sequence of elements  $a_i \in V$ , sets  $V_i \subseteq V$  and  $\varepsilon_i \in \{0, 1\}$  for  $i < \omega$  such that  $V_{i+1} \subseteq V_i$ ,  $a_i \in V_i \setminus V_{i+1}$ ,  $V_i$  is infinite,  $C(\{a_i, u\}) = \varepsilon_i$  for all elements  $u$  from  $V_{i+1}$ . If we succeed, then there exists some  $i_0 \in \{0, 1\}$  such that  $\varepsilon_i = i_0$  for infinitely many  $i < \omega$ , and then let  $U = \{a_i \mid \varepsilon_i = i_0\}$ .

Construction: let  $V_0 = V$ ,  $a_0 = b_0$ . For some  $i_0$ , and infinitely many  $b \in V$ ,  $C(\{a_0, b\}) = i_0$ . Let  $\varepsilon_0 = i_0$ . Suppose we chose  $a_i, V_i$  and  $\varepsilon_i$  for  $i \leq n$  such that everything above holds, and in addition  $C(\{a_n, b\}) = i'$  for some  $i'$  and infinitely many  $b \in V_n$ . Then let  $V_{n+1}$  be this infinite set, and let  $a_{n+1}$  be some element from it. For infinitely many  $b \in V_{n+1}$ ,  $C(\{a_{n+1}, b\})$  is constant, so we can continue.

- (2) Deduce the finite Ramsey Theorem from the infinite one using the Compactness Theorem.

Solution: Let  $k$  be given. Let  $A$  be a set of constants, and let  $L_A = A \cup \{C\}$  where  $C$  is a binary relation. Let  $T_A$  be the theory axiomatized by  $\{a \neq b \mid a \neq b \in A\}$ ,  $C$  is symmetric and anti-reflexive (so an infinite graph), and for every  $\{a_0, \dots, a_{k-1}\} \subseteq A$ , the sentence

$$\bigvee_{s_1 < s_2 < k, t_1 < t_2 < k} (C(a_{s_1}, c_{s_2}) \wedge \neg C(c_{t_1}, c_{t_2})).$$

I claim that  $T_A$  is consistent iff there is a coloring  $C : A^{[2]} \rightarrow \{0, 1\}$  with no monochromatic set of size  $k$ : Given a model  $M$  of  $T_A$ , define  $C(\{a, b\}) = 1$  iff  $C^M(a, b)$ . On the other hand, if there is such a coloring  $C$ , define  $M = A$  with  $C^M(a, b)$  iff  $C(\{a, b\}) = 1$ .

Let  $A$  be  $\{c_i \mid i < \omega\}$ . By the infinite Ramsey,  $T_A$  is not consistent, so there is some  $n$  such that if  $A_0 = \{c_i \mid i < n\}$  then  $T_{A_0}$  is inconsistent, i.e. every coloring of  $A_0^{[2]}$  has a monochromatic subset of size  $k$  (and so all sets of size  $n$ ).

Remark: there exists a proof that uses only induction on natural numbers.

**Question 3.**

Show that if  $G = (V, E)$  is an infinite graph such that every finite sub graph of it is  $n$ -colorable then  $G$  is  $n$ -colorable.

Solution: Let  $L = \{c_a \mid a \in V\} \cup \{f\} \cup \{d_0, \dots, d_{n-1}\}$  where  $c, d$  are constants and  $f$  is a unary function symbol. Let  $T$  be the theory saying that  $c_a \neq c_b$  for  $a \neq b$ ,  $f(x) \in \{d_0, \dots, d_{n-1}\}$  for all  $x$ . For all  $a, b$  such that  $aEb$ , add a sentence  $f(c_a) \neq f(c_b)$ . Then  $T$  has a model iff  $G$  is  $n$ -colorable. If  $T$  is inconsistent, then some finite subset of it is already inconsistent, i.e. there is some finite  $V_0 \subseteq V$  such that if  $G_0 = (V_0, E \upharpoonright V_0)$  then  $T_{G_0}$  is not consistent. But then  $G_0$  is not  $n$ -colorable – contradiction.

**Question 4.**

Show that the following are equivalent:

- (1) The Compactness Theorem.

- (2) Let  $T_1, T_2$  be sets of  $L$ -sentences. Assume that for every  $L$ -structure  $M$ ,  $M$  is a model of  $T_1$  iff  $M$  is not a model of  $T_2$ . Then there are some finite  $\Sigma_1 \subseteq T_1, \Sigma_2 \subseteq T_2$  such that  $\Sigma_1 \equiv T_1, \Sigma_2 \equiv T_2$  (i.e.  $\Sigma_1 \models T_1, \Sigma_2 \models T_2$ ).  
 Solution: (1) to (2) The assumption says that  $T_1 \cup T_2$  is inconsistent. So there is some  $\Sigma_1 \subseteq T_1, \Sigma_2 \subseteq T_2$  such that  $\Sigma_1 \cup \Sigma_2$  is inconsistent. If  $M \models \Sigma_1$  then  $M \not\models \Sigma_2$ , so it cannot be that  $M \models T_2$ , so  $M \models T_1$ , i.e.  $\Sigma_1 \models T_1$ . For  $\Sigma_2, T_2$  it's the same.
- (3) Let  $T_1, T_2$  be sets of  $L$ -sentences. Assume that  $T_2$  is finite and that  $T_1 \equiv T_2$ . Then  $T_1$  is finitely axiomatizable (i.e. there is some finite  $\Sigma \subseteq T_1$  such that  $\Sigma \equiv T_1$ ).  
 Solution: (2) to (3): let  $\alpha = \bigwedge T_2$ . Then for every structure  $M$ ,  $M$  is a model of  $T_1$  iff  $M \models \alpha$  iff  $M$  is not a model of  $\neg\alpha$ . By (1), there is some finite  $\Sigma_1$  equivalent to  $T_1$ .  
 (3) to (1): Assume that  $\Sigma$  is a set of sentences with no model. Then  $\Sigma \equiv \{\perp\}$  (where  $\perp$  is always interpreted as false, can be replaced by  $\forall x (x \neq x)$ ). By (2), there is some finite  $\Sigma_0 \subseteq \Sigma$  which is inconsistent.