## 23. Script zur Vorlesung: Algebra (B III) Prof. Dr. Salma Kuhlmann, Gabriel Lehéricy, Simon Müller WS 2016/2017: 3. Februar 2017

**Useful English/German Vocabulary** Splitting field - Zefällungskörper Field extension - Körpererweiterung

**Definition 0.1.** Let E/F be a field extension. The **Galois group**, denoted Gal(E/F), of E/F is the group of automorphisms of E which fix F pointwise i.e. the automorphisms  $\mu$  of E such that for all  $\alpha \in F$ ,  $\mu(\alpha) = \alpha$ .

**Definition 0.2.** Let F be a field and G be a subgroup of the group of automorphisms of F. The set

$$Inv(G) := \{ a \in F \mid \sigma(a) = a \text{ for all } \sigma \in G \}$$

is a subfield of F. We call it the G-fixed subfield of F.

Let E be a field and G the group of automorphisms of E. Let  $\Gamma$  be the set of subgroups of G and  $\Sigma$  the set of subfields of E. The maps

$$\Gamma \to \Sigma, \ H \mapsto \operatorname{Inv}(H)$$

and

$$\Sigma \to \Gamma, F \mapsto \operatorname{Gal}(E/F)$$

have the following properties:

- (i)  $G_1 \subseteq G_2 \Rightarrow \operatorname{Inv}(G_1) \supseteq \operatorname{Inv}(G_2)$
- (ii)  $F_1 \subseteq F_2 \Rightarrow \operatorname{Gal}(E/F_1) \supseteq \operatorname{Gal}(E/F_2)$
- (iii)  $\operatorname{Inv}(\operatorname{Gal}(E/F)) \supseteq F$
- (iv)  $\operatorname{Gal}(E/\operatorname{Inv}(H)) \supseteq H$

**Lemma 0.3.** Let E/F be a splitting field of a separable polynomial with coefficients in F. Then

$$|\operatorname{Gal}(E/F)| = [E:F].$$

*Proof.* What we will actually show is the following:

Let  $\tau : F \to F'$  be an isomorphism of fields. Let  $p(x) \in F[x]$  be a separable. Let E be a splitting field for p(x) and E' be a splitting field for  $\tau(p)(x)$ . There exist exactly [E : F] extensions of  $\tau$  to an isomorphism  $\sigma : E \to E'$ .

We proceed by induction on [E : F]. If [E : F] = 1 the statement is clear.

Fix  $\alpha$  a root of p(x) in  $E \setminus F$  with minimal polynomial  $m_{\alpha}(x)$ . For each  $\beta$  a root of  $\tau(m_{\alpha})(x)$ , let  $\tau_{\beta} : F(\alpha) \to F'(\beta)$  be the (unique) isomorphism extending  $\tau$  with  $\tau_{\beta}(\alpha) = \beta$ .

For each root  $\beta$  of  $\tau(m_{\alpha})(x)$  let  $S_{\beta}$  be the set of isomorphisms  $E \to E'$ extending  $\tau_{\beta}$ . If  $\beta \neq \beta'$  then  $S_{\beta} \cap S_{\beta'} = \emptyset$ .

The field E remains the splitting field of p(x) over  $F(\alpha)$  and E' remains the splitting field of  $\tau_{\beta}(p)(x)$  over  $F'(\beta)$ . Since  $[E : F(\alpha)] < [E : F]$ , by the induction hypothesis,

$$|S_{\beta}| = [E : F(\alpha)].$$

Since  $m_{\alpha}(x)$  divides p(x),  $m_{\alpha}(x)$  is separable and thus, so is  $\tau(m_{\alpha})(x)$ . Thus  $\tau(m_{\alpha})(x)$  has  $[F(\alpha):F]$  distinct roots.

Each isomorphism  $\sigma : E \to E'$  extending  $\tau$  maps  $\alpha$  to a root of  $\tau(m_{\alpha})(x)$ . Thus each  $\sigma$  restricts to some  $\tau_{\beta}$ . So each  $\sigma$  is in  $S_{\beta}$  for some  $\beta$  a root of  $\tau(m_{\alpha})(x)$ .

Thus there are exactly  $[E:F(\alpha)][F(\alpha):F]$  isomorphisms  $\sigma: E \to E'$  extending  $\tau: F \to F'$ . So we have proved our claim.

Setting E = E', F = F' and  $\tau$  equal to the identity homomorphism we get our lemma as stated.

**Lemma 0.4.** Let G be a finite group of automorphisms of a field E and let F = Inv(G). Then

$$[E:F] \le |G|.$$

**Remark/Reminder from linear algebra**: A system of n homogeneous linear equations over a field E in m variables with n < m has a non-trivial solution. (See LA I, Korollar 2, 7. Vorlesung am 11.11.11)

proof of lemma. Let n = |G| and  $G = \{\mu_1 = 1, \mu_2, ..., \mu_n\}$ . We need to show that any m > n elements of E are linearly dependent over F. Let  $u_1, ..., u_m \in E$ . Consider the system of linear equations in variables  $x_1, ..., x_m$ 

$$\sum_{j=1}^{m} \mu_i(u_j) x_j = 0, \ 1 \le i \le n.$$
 (1)

Let  $(b_1, ..., b_m)$  be a non-trivial solution with the least number of  $b_i \neq 0$ . By permuting the variables  $x_i$  we may assume  $b_1 \neq 0$  and by multiplying through by  $b_1^{-1}$  we may assume  $b_1 = 1$ .

We now show by contradiction that each  $b_i \in F := \text{Inv}(G)$ . Without loss of generality we may suppose  $b_2 \notin F$  and  $1 \leq k \leq n$  is such that  $\mu_k(b_2) \neq b_2$ .

Applying  $\mu_k$  to 1 we get that

$$\sum_{j=1}^{m} (\mu_k \mu_i)(u_j) \mu_k(b_j) = 0, \ 1 \le i \le n.$$

Since  $\mu_k \mu_1, ..., \mu_k \mu_n$  is just a permutation of  $\mu_1, ..., \mu_n$ ,

$$(\mu_k(1), \mu_k(b_2), ..., \mu_k(b_m)) = (1, \mu_k(b_2), ..., \mu_k(b_m))$$

is a solution to 1. Thus

$$(0, b_2 - \mu_k(b_2), ..., b_m - \mu_k(b_m))$$

is a solution to 1 and is non-trivial since  $b_2 - \mu_k(b_2) \neq 0$ . But this solutions has more zero entries than our original solution. So we have a contradiction. Thus each  $b_i \in F$  and from the first equation in 1:

$$\sum_{j=1}^m u_j b_j = 0.$$

Thus  $u_1, \ldots, u_m$  are linearly dependent over F.

 $\Box$ 

**Definition 0.5.** We say an algebraic field extension E/F is **separable** if the minimal polynomial of every element of E over F is separable.

**Theorem 0.6.** Let E/F be a field extension. The following are equivalent:

1. E is a splitting field of a separable polynomial  $p(x) \in F[x]$ .

2. F = Inv(G) for some finite group of automorphisms of E.

3. E is a finite dimensional, normal and separable over F.

Moreover, if E and F are as in (1) and G = Gal(E/F) then F = Inv(G) and if G and F are as in (2), then G = Gal(E/F).

*Proof.* (1) $\Rightarrow$ (2) Let F' = Inv(Gal(E/F)) and note  $F' \supseteq F$ . Clearly E is a splitting field of p(x) over F' and since Gal(E/F) fixes F' pointwise, Gal(E/F) = Gal(E/F').

By lemma 0.3, [E : F] = |Gal(E/F)| and [E : F'] = |Gal(E/F')|. Thus, since [E : F] = [E : F'][F' : F], [F' : F] = 1. Thus F = F'. So (2) holds.

Note we have also shown that F := Inv(G) for G := Gal(E/F), which is the first part of the moreover.

(2)  $\Rightarrow$  (3) *E* is finite dimensional over *F* by lemma 0.4. Let  $\alpha \in E$ . Let  $\alpha_1 = \alpha, \alpha_2, ..., \alpha_m$  be the orbit of  $\alpha$  under the action of *G*. Let  $g(x) = \prod_{i=1}^m (x - \alpha_i)$ . For any  $\sigma \in G$ ,

$$\sigma(g)(x) = \prod_{i=1}^{m} (x - \sigma(\alpha_i)) = g(x)$$

since  $\sigma$  just permutes the elements of  $\{\alpha_1, ..., \alpha_m\}$ . Thus  $g(x) \in F[x]$ .

Since  $g(\alpha) = 0$  and  $g(x) \in F[x]$ , the minimal polynomial of  $\alpha$  over F divides g. Since the  $\alpha_i$ s are all different, g is separable and thus the minimal polynomial of  $\alpha$  is separable. So E/F is separable.

Moreover, all roots of the minimal polynomial of  $\alpha$  are in E. Thus E is a normal over F (it is the splitting field of the minimal polynomials over F of all elements  $\alpha \in E$ ).

 $(3) \Rightarrow (1)$  Since E/F is normal and finite dimensional, E is the splitting field of a finite number of polynomials  $p_1, \ldots, p_n \in F[x]$ . We may as well assume that each of these polynomials is monic, irreducible over F and that no two are equal. Thus, each polynomial  $p_i$  is the minimal polynomial of some  $\alpha \in E$  over F. Thus, since they are non-equal, they also have no common roots. Therefore, there product  $p_1 \cdots p_n$  is separable and E is its splitting field.

We now prove the second part of the "moreover". Suppose F = Inv(G) for some finite group of automorphisms of E. Then by lemma 0.4,  $[E : F] \leq |G|$ . Since (1) holds, lemma 0.3 says that Gal(E/F) = [E : F]. So, since G is a subgroup of Gal(E/F), G = Gal(E/F).

**Definition 0.7.** We call a field extension E/F which satisfies any (and hence all) the equivalent conditions of the above theorem a **Galois** extension.

**Theorem 0.8** (Fundamental theorem of Galois theory). Let E/F be a Galois extension with G := Gal(E/F). Let  $\Gamma$  be the set of subgroups of G := Gal(E/F) and let  $\Sigma$  be the set of intermediate fields between E and F. The maps

$$H \mapsto Inv(H)$$
$$K \mapsto Gal(E/K)$$

are inverse bijective maps. Moreover, we have the following properties:

- (i)  $H_1 \supseteq H_2 \Leftrightarrow Inv(H_1) \subseteq Inv(H_2).$
- (*ii*) |H| = [E : Inv(H)], [G : H] = [Inv(H) : F]
- (iii) H in G is normal if and only if Inv(H) is normal over F. In this case

$$Gal(Inv(H)/F) \cong G/H$$